

## TRUNCATED SECOND MAIN THEOREM WITH MOVING TARGETS

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**ABSTRACT.** We prove a truncated Second Main Theorem for holomorphic curves intersecting a finite set of moving or fixed hyperplanes. The set of hyperplanes is assumed to be non-degenerate. Previously only general position or subgeneral position was considered.

### 1. INTRODUCTION

In this paper, we prove a truncated Second Main Theorem for holomorphic curves intersecting a finite set of moving or fixed hyperplanes. The set of hyperplanes is assumed to be non-degenerate (see the definition below). Previously only general position or subgeneral position was considered. Applications to the uniqueness problem appeared elsewhere (see [Ru2]). This paper is partially motivated by Ru's result (see [Ru1]) that  $\mathbb{P}^n - \mathcal{H}$  is Brody hyperbolic if and only  $\mathcal{H}$  is non-degenerate, where  $\mathcal{H}$  is a finite set of hyperplanes in  $\mathbb{P}^n$ .

To state our results, we first introduce some standard definitions in Nevanlinna theory. Let

$$f = [f_0 : \cdots : f_n] : \mathbb{C} \rightarrow \mathbb{P}^n(\mathbb{C})$$

be a holomorphic map, where  $f_0, \dots, f_n$  are entire and without common zeros. Define  $\mathbf{f} = (f_0, \dots, f_n)$ .  $\mathbf{f}$  is called a **reduced representation** of  $f$ . The characteristic function  $T_f(r)$  of  $f$  is defined by

$$(1.1) \quad T_f(r) = \int_0^{2\pi} \log \|\mathbf{f}(re^{i\theta})\| \frac{d\theta}{2\pi} - \log \|\mathbf{f}(0)\|.$$

Note that the characteristic function  $T_f(r)$  is independent of the choice of the reduced representation of  $f$ . A moving hyperplane assigns, to every  $z \in \mathbb{C}$ , a hyperplane given by

$$H(z) = \left\{ [x_0 : \cdots : x_n] \in \mathbb{P}^n(\mathbb{C}) \mid \sum_{i=0}^n a_i(z)x_i = 0 \right\},$$

where  $a_i, 0 \leq i \leq n$ , are entire functions without common zeros. Denote by  $\mathbf{a} = (a_0, \dots, a_n)$  the vector associated with  $H$ . A moving hyperplane  $H$  gives a holomorphic map  $\mathbb{P}(\mathbf{a}) : \mathbb{C} \rightarrow \mathbb{P}^n(\mathbb{C})$ . We define  $T_H(r) = T_{\mathbb{P}(\mathbf{a})}(r)$ .

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We now define the counting function. For a moving hyperplane  $H$ , we say  $(f, H)$  is **free** if  $\mathbf{a} \cdot \mathbf{f} \not\equiv 0$ , where  $\mathbf{a}$  is the vector associated to  $H$  and  $\cdot$  is the dot product in  $\mathbb{C}^{n+1}$ . Under the assumption that  $(f, H)$  is free, let  $n_f(r, H)$  be the number of zeros of  $\mathbf{a} \cdot \mathbf{f}$  in  $|z| < r$ . Let  $n_f^{(n)}(r, H)$  be the number of zeros of  $\mathbf{a} \cdot \mathbf{f}$  in  $|z| < r$ , where the multiplicity is counted only as  $n$  if the vanishing order of  $\mathbf{a} \cdot \mathbf{f}$  at the point is greater than or equal to  $n$ . The counting function is defined by

$$N_f(r, H) = \int_0^r \frac{n_f(t, H) - n_f(0, H)}{t} dt + n_f(0, H) \log r,$$

and the truncated counting function is

$$N_f^{(n)}(r, H) = \int_0^r \frac{n_f^{(n)}(t, H) - n_f^{(n)}(0, H)}{t} dt + n_f^{(n)}(0, H) \log r.$$

Denote by  $\mathcal{M}$  the field of meromorphic functions on  $\mathbb{C}$ .

**Definition 1.1.** We say the set of moving hyperplanes  $\mathcal{H} = \{H_1, \dots, H_q\}$  (or  $\mathcal{A} = \{\mathbf{a}_1, \dots, \mathbf{a}_q\}$ ) is **non-degenerate over  $\mathcal{M}$**  if  $\dim(\mathcal{A})_{\mathcal{M}} = n + 1$  and for each proper subset  $\mathcal{A}_1$  of  $\mathcal{A}$

$$(1.2) \quad (\mathcal{A}_1)_{\mathcal{M}} \cap (\mathcal{A} - \mathcal{A}_1)_{\mathcal{M}} \cap \mathcal{A} \neq \emptyset,$$

where  $(\mathcal{A})_{\mathcal{M}}$  is the linear span of  $\mathcal{A}$  over the field  $\mathcal{M}$ .

Our result is stated as follows:

**Theorem 1.1.** *Let  $f : \mathbb{C} \rightarrow \mathbb{P}^n(\mathbb{C})$  be a holomorphic map. Let  $\mathcal{H} = \{H_1, \dots, H_q\}$  be a finite collection of moving hyperplanes. Assume that  $\mathcal{H}$  is non-degenerate over  $\mathcal{M}$ , and  $(f, H)$  is free for every  $H \in \mathcal{H}$ . Then*

$$T_f(r) \leq \sum_{i=1}^q n(2n-1)N_f^{(n)}(r, H_i) + O\left(\max_{1 \leq i \leq q} T_{H_i}(r)\right) + O_{exc}(\log^+ T_f(r)),$$

where  $O_{exc}$  means the estimate holds except for  $r$  in a set of finite Lebesgue measure.

Note that when  $H_1, \dots, H_q$  are (fixed) hyperplanes, we say that  $\mathcal{H} = \{H_1, \dots, H_q\}$  (or  $\mathcal{A} = \{\mathbf{a}_1, \dots, \mathbf{a}_q\}$ ) is **non-degenerate over  $\mathbb{C}$**  if  $\dim(\mathcal{A}) = n + 1$  and for each proper subset  $\mathcal{A}_1$  of  $\mathcal{A}$

$$(\mathcal{A}_1) \cap (\mathcal{A} - \mathcal{A}_1) \cap \mathcal{A} \neq \emptyset,$$

where  $(\mathcal{A})$  is the linear span of  $\mathcal{A}$  over  $\mathbb{C}$ . The proof of Theorem 1.1 implies that if  $\mathcal{H} = \{H_1, \dots, H_q\}$  is non-degenerate over  $\mathbb{C}$ , then every holomorphic map  $f : \mathbb{C} \rightarrow \mathbb{P}^n(\mathbb{C}) - (\bigcup_{j=1}^q H_j)$  must be constant. In this case we say that  $\mathbb{P}^n(\mathbb{C}) - (\bigcup_{j=1}^q H_j)$  is **Brody hyperbolic**. We note that Min Ru (cf. [Ru1]) proved that  $\mathbb{P}^n(\mathbb{C}) - (\bigcup_{j=1}^q H_j)$  is Brody hyperbolic if and only if  $\mathcal{H} = \{H_1, \dots, H_q\}$  is non-degenerate over  $\mathbb{C}$ , so Theorem 1.1 can also be viewed as a quantitative extension of Ru's result.

Recall that (fixed) hyperplanes  $\{H_1, \dots, H_q\}$  (or  $\{\mathbf{a}_1, \dots, \mathbf{a}_q\}$ ) are said to be in general position if  $\mathbf{a}_{\mu(0)}, \dots, \mathbf{a}_{\mu(n)}$  are linearly independent for any injective map  $\mu : \{0, 1, \dots, n\} \rightarrow \{1, \dots, q\}$ . Moving hyperplanes  $\{H_1, \dots, H_q\}$  are said to be in general position if  $\{H_1(z), \dots, H_q(z)\}$  are in general position for some (and hence for almost all)  $z \in \mathbb{C}$ . A typical example of  $\mathcal{H} = \{H_1, \dots, H_q\}$  being non-degenerate over  $\mathcal{M}$  is that  $\mathcal{H}$  is in general position. In this case, we have a stronger result.

**Theorem 1.2.** *Let  $f : \mathbb{C} \rightarrow \mathbb{P}^n(\mathbb{C})$  be a holomorphic map. Let  $\mathcal{H} = \{H_1, \dots, H_q\}$  be a finite set of moving hyperplanes in general position. Assume that  $(f, H)$  is free for every  $H \in \mathcal{H}$ . If  $q \geq 2n + 1$ , then*

$$\frac{q}{2n+1}T_f(r) \leq \sum_{i=1}^q nN_f^{(n)}(r, H_i) + O\left(\max_{1 \leq i \leq q} T_{H_i}(r)\right) + O_{exc}(\log^+ T_f(r)),$$

where  $O_{exc}$  means the estimate holds except for  $r$  in a set of finite Lebesgue measure.

For the applications of the above truncated SMT with moving targets to the uniqueness problem, see [Ru2]. We also note that Ru and Stoll [R-S2] obtained the following inequality without truncation: Under the same assumptions as in Theorem 1.2, for every  $\epsilon > 0$ , the inequality

$$(q - 2n - \epsilon)T_f(r) \leq \sum_{i=1}^q N_f(r, H_i) + O\left(\max_{1 \leq i \leq q} T_{H_i}(r)\right)$$

holds for all  $r$  outside a set of finite Lebesgue measure.

## 2. A REFINEMENT OF DIAGONAL EQUATIONS OF HOLOMORPHIC FUNCTIONS

In this section, we give the following refinement for holomorphic functions satisfying a diagonal equation, which generalizes the well-known Borel Lemma in Nevanlinna theory. We use the standard notation in Nevanlinna theory.

**Theorem 2.1.** *Let  $f = [f_0 : \dots : f_n] : \mathbb{C} \rightarrow \mathbb{P}^n(\mathbb{C})$  be a holomorphic map, with  $f_0, \dots, f_n$  entire and no common zeros. Assume that  $f_{n+1}$  is a holomorphic function and  $f_0 + \dots + f_n + f_{n+1} = 0$ . If  $\sum_{i \in I} f_i \neq 0$  for any proper subset  $I$  of  $\{0, \dots, n+1\}$ , then*

$$T_f(r) \leq \sum_{j=0}^{n+1} N_{f_j}^{(n)}(r, 0) + O_{exc}(\log^+ T_f(r))$$

for  $r \rightarrow \infty$ , where  $O_{exc}$  means the estimate holds except for  $r$  in a set of finite Lebesgue measure.

To prove Theorem 2.1, we recall the following lemma from [B-M].

**Lemma 2.2.** *Assume  $\sum_{i=0}^m f_i = 0$  but no non-empty proper subsum vanishes. If some proper subset of  $\{f_0, \dots, f_m\}$  is linearly dependent, then we can find an integer  $l \geq 2$ , a partition*

$$\{0, 1, \dots, m\} = I_1 \cup \dots \cup I_l$$

into non-empty disjoint sets  $I_1, \dots, I_l$ , and non-empty sets

$$J_1 \subseteq I_1, J_2 \subseteq I_1 \cup I_2, \dots, J_{l-1} \subseteq I_1 \cup \dots \cup I_{l-1}$$

such that

$$I_1, I_2 \cup J_1, \dots, I_l \cup J_{l-1}$$

are minimal. Here, we say an index set  $I \subset \{0, 1, \dots, m\}$  is **minimal** if the set  $\{f_i \mid i \in I\}$  is linearly dependent, and for any proper subset  $I'$  of  $I$  the set  $\{f_i \mid i \in I'\}$  is linearly independent.

*Proof.* Throughout this proof, we use the term **linear forms**. Linear forms are the homogeneous polynomials of degree one in  $m+1$  variables with coefficients in  $\mathbb{C}$ ; that is,  $L(X) = c_0x_0 + \cdots + c_mx_m$ , where  $c_0, \dots, c_m \in \mathbb{C}$ ,  $X = (x_0, \dots, x_m)$ . We denote by  $\mathcal{L}$  the set of linear forms which vanish on  $(f_0, \dots, f_m)$ , so  $L(X) = c_0x_0 + \cdots + c_mx_m$  is in  $\mathcal{L}$  if and only if  $c_0f_0 + \cdots + c_mf_m = 0$ . By the assumption  $f_0 + \cdots + f_m = 0$ ,  $\mathcal{L}$  is non-empty. We make the following claim.

**Claim 1.** *Every linear form  $L$  in  $\mathcal{L}$  can be written as*

$$L = \sum c_J L_J \text{ with } L_J \in \mathcal{L}$$

*for certain minimal sets  $J$ , where  $L_J$  is a linear combination of  $\{x_j \mid j \in J\}$ , and  $c_J$  is constant.*

We prove Claim 1 by induction on the length  $t$  of  $L$ , i.e., the number of nonzero coefficients. The case  $t = 1$  is trivial. So assume that for some  $t > 1$  this holds for all elements of  $\mathcal{L}$  of length strictly less than  $t$ . If  $L \in \mathcal{L}$  has length exactly  $t$ , we may suppose that

$$L = c_0x_0 + \cdots + c_{t-1}x_{t-1}, \quad c_i \neq 0, \text{ for } 0 \leq i \leq t-1.$$

If  $I = \{0, 1, \dots, t-1\}$  is minimal, we are done. Otherwise, there is a linear form  $L'$  in  $\mathcal{L}$  with less length. Without loss of generality we can assume that

$$L' = c'_0x_0 + \cdots + c'_kx_k$$

lies in  $\mathcal{L}$  for some  $k$  with  $0 \leq k < t-1$  and  $c'_0 \neq 0$ . Then  $L'$  and  $L'' = c'_0L - c_0L'$  are both of length strictly less than  $t$ , and so the induction hypothesis can be applied to both linear forms. Since

$$L = (c_0/c'_0)L' + (1/c'_0)L'',$$

$L$  has the desired decomposition. So Claim 1 is proved.

We now prove Claim 2.

**Claim 2.** *Suppose  $\sum_{i=0}^m f_i = 0$  and  $\sum_{i \in I} f_i \neq 0$  for some  $I \subset N = \{0, 1, \dots, m\}$ . Then there is a minimal set  $J$  with  $L_J \in \mathcal{L}$  such that  $J \cap I \neq \emptyset$  and  $J \cap I^c \neq \emptyset$ , where  $I^c$  is the complement of  $I$  in  $N$ .*

In fact, the set  $L = \sum_{i=0}^m x_i$  is in  $\mathcal{L}$  because  $\sum_{i=0}^m f_i = 0$ . By Claim 1, we have

$$L = \sum c_J L_J \text{ with } L_J \in \mathcal{L}$$

for certain minimal sets  $J$ . If Claim 2 is false, then every such  $J$  is contained either in  $I$  or in  $I^c$ . So  $\sum_{i=0}^m x_i = L(x_0, \dots, x_m) = \sum_{J \subset I} c_J L_J(x_0, \dots, x_m) + \sum_{J \subset I^c} c_J L_J(x_0, \dots, x_m)$ . However, for those  $J \subset I$ ,  $L_J(x_0, \dots, x_m)$  involves only  $\{x_i \mid i \in I\}$  while for those  $J \subset I^c$ ,  $L_J(x_0, \dots, x_m)$  involves only  $\{x_i \mid i \in I^c\}$ . If we set  $x_i = 0$  for  $i \in I^c$ , the above equation becomes

$$\sum_{i \in I} x_i = \sum_{J \subset I} c_J L_J(x_0, \dots, x_m).$$

Since  $L_J \in \mathcal{L}$ ,  $L_J(f_0, \dots, f_m) = 0$ . Hence  $\sum_{i \in I} f_i = 0$ , which leads to a contradiction that proves Claim 2.

We now pick any minimal set  $I_1$ . By hypothesis  $N = \{0, 1, \dots, m\}$  is not minimal, so  $I_1 \neq N$ . Hence,  $\sum_{i \in I_1} f_i \neq 0$ . So Claim 2 implies that there exists a minimal set  $I'_2$  with  $L_{I'_2} \in \mathcal{L}$  such that  $I'_2 \cap I_1 \neq \emptyset$  and  $I'_2 \cap I_1^c \neq \emptyset$ , where  $I_1^c$  is the

complement of  $I_1$  in  $N$ . Put  $I_2 = I'_2 \cap I_1^c$  and  $J_1 = I'_2 \cap I_1$ . If  $N = I_1 \cup I_2$ , then we are done. Otherwise, let  $I = I_1 \cup I_2$ . Applying Claim 2 to  $I$ , there exists a minimal set  $I'_3$  with  $L_{I'_3} \in \mathcal{L}$ , such that  $I'_3 \cap I \neq \emptyset$  and  $I'_3 \cap I^c \neq \emptyset$ . Let  $I_3 = I'_3 \cap (I_1 \cup I_2)^c$  and  $J_2 = I'_3 \cap (I_1 \cup I_2)$ . If  $N = I_1 \cup I_2 \cup I_3$ , then we are done. Otherwise, we repeat the same procedures until the union reaches  $N$ . This proves Lemma 2.2.  $\square$

*Proof of Theorem 2.1.* If  $f_0, \dots, f_n$  are linearly independent, then this is a consequence of Cartan's truncated Second Main Theorem. If  $f_0, \dots, f_n$  are linearly dependent, then by Lemma 2.2, we can find an integer  $l \geq 2$ , a partition

$$\{0, 1, \dots, n+1\} = I_1 \cup \dots \cup I_l$$

into non-empty disjoint sets  $I_1, \dots, I_l$ , and non-empty sets

$$J_1 \subseteq I_1, J_2 \subseteq I_1 \cup I_2, \dots, J_{l-1} \subseteq I_1 \cup \dots \cup I_{l-1}$$

such that

$$I_1, I_2 \cup J_1, \dots, I_l \cup J_{l-1}$$

are minimal. Let  $n_i = \#I_i$ . Then  $\sum_{i=1}^l n_i = n+2$ . Without loss of generality we may assume that

$$\{0, \dots, n_1-1\} = I_1, \{n_1, \dots, n_1+n_2-1\} = I_2, \dots, \{n+2-n_l, \dots, n+1\} = I_l.$$

We also write

$$(2.1) \quad \hat{n}_\lambda = \sum_{\nu=1}^{\lambda} n_\nu.$$

Since  $I_1$  is minimal, there is a linear relation among  $\{f_0, \dots, f_{n_1-1}\}$ . That is,

$$c_{0,1}f_0 + \dots + c_{n_1-1,1}f_{n_1-1} = \sum_{j \in I_1} c_{j,1}f_j = 0.$$

Define  $c_{j,1} = 0$  for all  $j \geq n_1$ . Then

$$\sum_{j=0}^{n+1} c_{j,1}f_j = 0.$$

Differentiation yields, for each positive integer  $\rho$ ,

$$(2.2) \quad \sum_{j=0}^{n+1} c_{j,1}f_j^{(\rho)} = 0.$$

Take  $2 \leq \lambda \leq l$ . Since  $I_\lambda \cup J_{\lambda-1}$  is minimal, there are non-zero complex numbers  $c_{j,\lambda}$  such that

$$\sum_{j \in I_\lambda \cup J_{\lambda-1}} c_{j,\lambda}f_j = 0.$$

Put  $c_{j,\lambda} = 0$  for all  $j \notin (I_\lambda \cup J_{\lambda-1})$ . Then

$$\sum_{j=0}^{n+1} c_{j,\lambda}f_j = 0.$$

Differentiation yields, for each positive integer  $\rho$ ,

$$(2.3) \quad \sum_{j=0}^{n+1} c_{j,\lambda}f_j^{(\rho)} = 0.$$

We consider an  $(n+1) \times (n+2)$  **master matrix**  $M$  given by

$$M = \begin{bmatrix} c_{0,1}f_0 & \cdots & c_{n+1,1}f_{n+1} \\ c_{0,1}f'_0 & \cdots & c_{n+1,1}f'_{n+1} \\ \vdots & \ddots & \vdots \\ c_{0,1}f_0^{(n_1-2)} & \cdots & c_{n+1,1}f_{n+1}^{(n_1-2)} \\ c_{0,2}f_0 & \cdots & c_{n+1,2}f_{n+1} \\ \vdots & \ddots & \vdots \\ c_{0,2}f_0^{(n_2-1)} & \cdots & c_{n+1,2}f_{n+1}^{(n_2-1)} \\ c_{0,3}f_0 & \cdots & c_{n+1,3}f_{n+1} \\ \vdots & \ddots & \vdots \\ c_{0,3}f_0^{(n_3-1)} & \cdots & c_{n+1,3}f_{n+1}^{(n_3-1)} \\ \vdots & \ddots & \vdots \\ c_{0,l}f_0^{(n_l-1)} & \cdots & c_{n+1,l}f_{n+1}^{(n_l-1)} \end{bmatrix},$$

where we note that  $n_1 + \cdots + n_l = n+2$ . We also note that, by (2.2) and (2.3), the sum of each row of  $M$  is zero. Let  $D_j$  be the determinant of the matrix obtained by deleting the  $j$ -th column of the master matrix  $M$ . Then, since the sum of each row of  $M$  is zero, we actually have

$$(2.4) \quad D_j = (-1)^j D_0.$$

We now show that

$$(2.5) \quad D_0 \neq 0.$$

For this, we first prove that

$$(2.6) \quad D_0 = \gamma_1 \gamma_2 \cdots \gamma_l,$$

where

$$\gamma_1 = \begin{vmatrix} c_{1,1}f_1 & \cdots & c_{n_1-1,1}f_{n_1-1} \\ \vdots & \ddots & \vdots \\ c_{1,1}f_1^{(n_1-2)} & \cdots & c_{n_1-1,1}f_{n_1-1}^{(n_1-2)} \end{vmatrix}$$

and, for  $2 \leq \lambda \leq l$ ,

$$\gamma_\lambda = \begin{vmatrix} c_{\hat{n}_\lambda-1,\lambda}f_{\hat{n}_\lambda-1} & \cdots & c_{\hat{n}_\lambda-1,\lambda}f_{\hat{n}_\lambda-1} \\ \vdots & \ddots & \vdots \\ c_{\hat{n}_\lambda-1,\lambda}f_{\hat{n}_\lambda-1}^{(n_\lambda-1)} & \cdots & c_{\hat{n}_\lambda-1,\lambda}f_{\hat{n}_\lambda-1}^{(n_\lambda-1)} \end{vmatrix},$$

where  $\hat{n}_\lambda$  is defined in (2.1). (2.6) is true because of the definition of  $D_0$  and the fact that  $c_{j,1} = 0$  for  $j \geq n_1$  and  $c_{j,\lambda} = 0$  for  $j \geq \hat{n}_\lambda$  for  $\lambda = 2, \dots, l$ . Now, since  $I_1$  is minimal,  $c_{i,1} \neq 0$  for  $0 \leq i \leq n_1 - 1$ , and also  $\{f_1, \dots, f_{n_1-1}\}$  is linearly independent, so  $\gamma_1 \neq 0$  by the property of the Wronskian. Also, since  $I_\lambda \cup J_{\lambda-1}$  is minimal,  $c_{i,\lambda} \neq 0$  for  $\hat{n}_{\lambda-1} \leq i \leq \hat{n}_\lambda - 1$  and also  $\{f_j, j \in I_\lambda\}$  is linearly independent. So  $\gamma_\lambda \neq 0$  for  $2 \leq \lambda \leq l$ . Hence  $D_0 \neq 0$  by (2.6). So (2.5) is verified. The rest of the proof is similar to the proof of Cartan's Second Main Theorem, replacing the Wronskian  $W$  by  $D_0$ . The following is the detail. Consider the coordinate hyperplanes  $H_i = \{[x_0 : \cdots : x_n] \mid x_{i-1} = 0\}$  for  $1 \leq i \leq n+1$  and  $H_{n+2} = \{[x_0 : \cdots : x_n] \mid x_0 + \cdots + x_n = 0\}$ , and notice that these hyperplanes are

in general position. By the well-known “product to the sum formula” (see [Ru3], Lemma A3.1.6), we have

$$(2.7) \quad \sum_{j=1}^{n+2} m_f(r, H_j) \leq \int_0^{2\pi} \max_{0 \leq j \leq n+1} \log \frac{\|\mathbf{f}(re^{i\theta})\|^{n+1}}{\prod_{t=0, t \neq j}^{n+1} |f_t(re^{i\theta})|} \frac{d\theta}{2\pi} + O(1).$$

However, using (2.4),

$$(2.8) \quad \begin{aligned} & \int_0^{2\pi} \max_{0 \leq j \leq n+1} \log \frac{\|\mathbf{f}(re^{i\theta})\|^{n+1}}{\prod_{t=0, t \neq j}^{n+1} |f_t(re^{i\theta})|} \frac{d\theta}{2\pi} \\ &= \int_0^{2\pi} \max_{0 \leq j \leq n+1} \log \frac{|D_0(re^{i\theta})|}{\prod_{t=0, t \neq j}^{n+1} |f_t(re^{i\theta})|} \frac{d\theta}{2\pi} \\ &+ (n+1) \int_0^{2\pi} \log \|\mathbf{f}(re^{i\theta})\| \frac{d\theta}{2\pi} - \int_0^{2\pi} \log |D_0(re^{i\theta})| \frac{d\theta}{2\pi} \\ &= \int_0^{2\pi} \max_{0 \leq j \leq n+1} \log \frac{|D_j(re^{i\theta})|}{\prod_{t=0, t \neq j}^{n+1} |f_t(re^{i\theta})|} \frac{d\theta}{2\pi} + (n+1)T_f(r) + O(1) \\ &- \int_0^{2\pi} \log |D_0(re^{i\theta})| \frac{d\theta}{2\pi} \\ &\leq \sum_{j=0}^{n+1} \int_0^{2\pi} \log^+ \frac{|D_j(re^{i\theta})|}{\prod_{t=0, t \neq j}^{n+1} |f_t(re^{i\theta})|} \frac{d\theta}{2\pi} \\ &+ (n+1)T_f(r) - N_{D_0}(r, 0) + O(1) \end{aligned}$$

where, in the last step, we used the fact that, by Jensen’s formula,

$$\int_0^{2\pi} \log |D_0(re^{i\theta})| \frac{d\theta}{2\pi} = N_{D_0}(r, 0).$$

For each fixed  $j$  with  $0 \leq j \leq n+1$ , we now estimate

$$\int_0^{2\pi} \log^+ \frac{|D_j(re^{i\theta})|}{\prod_{t=0, t \neq j}^{n+1} |f_t(re^{i\theta})|} \frac{d\theta}{2\pi}.$$

Note that  $D_j$  does not involve  $f_j$ , so we write

$$D_j = D(f_0, \dots, f_{j-1}, f_{j+1}, \dots, f_{n+1}).$$

Write  $g_i = f_i/f_j$  for  $1 \leq i \leq n+1$  and the fixed  $j$ . It is easy to verify that

$$\begin{aligned} & D(f_0, \dots, f_{j-1}, f_{j+1}, \dots, f_{n+1}) \\ &= f_j^{n+1} D(f_0/f_j, \dots, f_{j-1}/f_j, f_{j+1}/f_j, \dots, f_{n+1}/f_j). \end{aligned}$$

In fact, from (2.6) we see that  $D_j$  in fact is the product of several “small” Wronskians. So the above identity is true by the property of Wronskians. So

$$D_j = f_j^{n+1} D(g_0, \dots, g_{j-1}, g_{j+1}, \dots, g_{n+1}).$$

Hence, by Theorem A1.2.5 in [Ru3] (the lemma of logarithmic derivatives),

$$\begin{aligned} & \int_0^{2\pi} \log^+ \frac{|D_j|}{|f_0 \cdots f_{j-1} f_{j+1} \cdots f_{n+1}|} \frac{d\theta}{2\pi} \\ &= \int_0^{2\pi} \log^+ \frac{|D(g_0, \dots, g_{j-1}, g_{j+1}, \dots, g_{n+1})|}{|g_0 \cdots g_{j-1} g_{j+1} \cdots g_{n+1}|} \frac{d\theta}{2\pi} \\ &\leq O\left(\sum_{i=0}^{n+1} \log T_{g_i}(r)\right), \end{aligned}$$

where the inequality holds for all  $r$  outside a set  $E \subset (0, +\infty)$  with finite Lebesgue measure. Using the fact that  $f_0 + \cdots + f_n + f_{n+1} = 0$ , we get

$$\sum_{i=0}^{n+1} \log T_{g_i}(r) \leq O(\log^+ T_f(r)).$$

Hence

$$(2.9) \quad \int_0^{2\pi} \log^+ \frac{|D_j(re^{i\theta})|}{\prod_{t=0, t \neq j}^{n+1} |f_t(re^{i\theta})|} \frac{d\theta}{2\pi} \leq O(\log^+ T_f(r)),$$

where the inequality holds for all  $r$  outside a set  $E \subset (0, +\infty)$  with finite Lebesgue measure. Hence, combining (2.7), (2.8) and (2.9),

$$\sum_{j=1}^{n+2} m_f(r, H_j) + N_{D_0}(r, 0) \leq (n+1)T_f(r) + O(\log^+ T_f(r)),$$

or we can write, by the First Main Theorem, the above inequality as

$$T_f(r) \leq \sum_{j=1}^{n+2} N_f(r, H_j) - N_{D_0}(r, 0) + O(\log^+ T_f(r));$$

here the inequality holds for all  $r$  outside a set  $E \subset (0, +\infty)$  with finite Lebesgue measure. However, by the definition of  $H_j$ , we have

$$N_f(r, H_j) = N_{f_{j-1}}(r, 0).$$

So the inequality

$$T_f(r) \leq \sum_{j=0}^{n+1} N_{f_j}(r, 0) - N_{D_0}(r, 0) + O(\log^+ T_f(r))$$

holds for all  $r$  outside a set  $E \subset (0, +\infty)$  with finite Lebesgue measure. It remains to verify that

$$\sum_{j=0}^{n+1} N_{f_j}(r, 0) - N_{D_0}(r, 0) \leq \sum_{j=0}^{n+1} N_{f_j}^{(n)}(r, 0).$$

Let  $z_0 \in \mathbb{C}$ . Since  $D_j = (-1)^j D_0$  and  $f_0, \dots, f_n$  have no common zeros, we may assume that  $f_0(z_0) \neq 0$ ,  $f_j$  vanishes at  $z_0$  for  $1 \leq j \leq q_1$  and  $f_j$  does not vanish at  $z_0$  for  $j > q_1$ . There are integers  $k_j \geq 0$  and nowhere vanishing holomorphic functions  $g_j$  in a neighborhood  $U$  of  $z_0$  such that

$$f_j = (z - z_0)^{k_j} g_j \text{ for } j = 1, \dots, n+1.$$



Here  $k_j = 0$  if  $q_1 < j \leq n+1$ . Also we can assume that  $k_j \geq n$  if  $1 \leq j \leq q_0$  and  $1 \leq k_j < n$ , where  $0 \leq q_0 \leq q_1$ . By the definition of  $D_0$ , we have

$$D_0 = \prod_{j=1}^{q_0} (z - z_0)^{k_j - n} h(z),$$

where  $h(z)$  is a holomorphic function defined on  $U$ . Thus  $D_0$  vanishes at  $z_0$  with order at least  $\sum_{j=1}^{q_0} (k_j - n) = \sum_{j=1}^{q_0} k_j - q_0 n$ . Hence, we have

$$\sum_{j=0}^{n+1} N_{f_j}(r, 0) - N_{D_0}(r, 0) \leq \sum_{j=0}^{n+1} N_{f_j}^{(n)}(r, 0). \quad \square$$

### 3. PROOF OF THEOREMS 1.1 AND 1.2

In this section, we prove Theorems 1.1 and 1.2.

*Proof of Theorem 1.1.* Let  $\mathcal{H} = \{H_1, \dots, H_q\}$  be a finite set of moving hyperplanes. Assume that  $\mathcal{H}$  is non-degenerate over  $\mathcal{M}$ ; that is, (1.2) holds. Let

$$H_j = \{[x_0 : \dots : x_n] \in \mathbb{P}^n(\mathbb{C}) \mid \sum_{i=0}^n a_{ij} x_i = 0\},$$

where  $a_{ij}, 0 \leq i \leq n$ , are entire functions without common zeros for each  $1 \leq j \leq q$ . For each  $j$ , there exists  $j_0$  such that  $a_{j_0, j} \not\equiv 0$ . Let  $b_{ij} = a_{ij}/a_{j_0, j}$ . Then  $b_{ij}$  are meromorphic function with the property that, for  $1 \leq j \leq q$ ,  $T_{b_{ij}}(r) \leq T_{H_j}(r)$ . Let  $\mathbf{a}_j = (b_{0j}, \dots, b_{nj})$  and let  $\mathcal{A} = \{\mathbf{a}_1, \dots, \mathbf{a}_q\}$ . Let  $\{\mathbf{a}_{i_1}, \dots, \mathbf{a}_{i_m}\}$  be a subset of  $\mathcal{A}$ . Suppose that this set is linearly dependent over  $\mathcal{M}$  and no proper subset is linearly dependent over  $\mathcal{M}$ . Then we have a linear equation

$$(3.1) \quad c_{i_1} \mathbf{a}_{i_1} + \dots + c_{i_m} \mathbf{a}_{i_m} = 0,$$

where  $c_{i_j}, 1 \leq j \leq m$ , are nonzero meromorphic functions. By clearing the denominators, we can assume that  $c_{i_j}$  are entire functions. We call (3.1) a **minimal relation**. Since  $c_{i_1}, \dots, c_{i_m}$  are determined by solving the system of linear equations  $c_{i_1} b_{j, i_1} + \dots + c_{i_m} b_{j, i_m} = 0, 0 \leq j \leq n$ , they can be chosen as non-vanishing minors of the matrix with entries  $b_{j, i_\alpha}, 1 \leq \alpha \leq m, 0 \leq j \leq n$ , up to a sign. For such a choice of  $c_{i_\alpha}, 1 \leq \alpha \leq m$ , since  $T_{b_{i_\alpha}}(r) \leq T_{H_{i_\alpha}}(r)$ , we have the following estimate:

$$(3.2) \quad T_{c_{i_\alpha}}(r) \leq O\left(\max_{1 \leq i \leq q} T_{H_i}(r)\right).$$

Let  $\mathcal{R}$  be the collection of all minimal relations associated to  $\mathcal{A}$  arising in this way. We also note that  $\mathbf{a}_i$ 's are pairwise linearly independent, because these hyperplanes are distinct. So we have  $3 \leq m \leq n+2$ .

Let  $f = [f_0 : \dots : f_n] : \mathbb{C} \rightarrow \mathbb{P}^n(\mathbb{C})$  be a holomorphic map. Let  $\mathbf{f} = (f_0, \dots, f_n)$  be a reduced representation of  $f$ . We make the following claim:

**Claim.** *There exist  $n+1$  linearly independent vectors  $\mathbf{a}_{i_1}, \dots, \mathbf{a}_{i_{n+1}}$  in  $\mathcal{A}$  such that*

$$(3.3) \quad \frac{T_{\mathbf{f} \cdot \mathbf{a}_{i_\alpha}}(r)}{\mathbf{f} \cdot \mathbf{a}_{i_1}} \leq \sum_{j=1}^q (2n-1) N_f^{(n)}(r, H_j) + O\left(\max_{1 \leq i \leq q} T_{H_i}(r)\right) + O_{exc}(\log^+ T_f(r))$$

for  $2 \leq \alpha \leq n+1$ .

To prove the claim, we first find a minimal relation in  $\mathcal{R}$  containing  $\mathbf{a}_1$ . Without loss of generality, we assume that this minimal relation is

$$c_1 \mathbf{a}_1 + c_2 \mathbf{a}_2 + \cdots + c_m \mathbf{a}_m = 0.$$

Then  $c_1 \mathbf{f} \cdot \mathbf{a}_1 + \cdots + c_m \mathbf{f} \cdot \mathbf{a}_m = 0$ . After rearranging the index again, we obtain an equation with no vanishing subsum:

$$(3.4) \quad c_1 \mathbf{f} \cdot \mathbf{a}_1 + \cdots + c_u \mathbf{f} \cdot \mathbf{a}_u = 0,$$

$2 \leq u \leq n+2$ . Theorem 2.1 and (3.2) thus imply

$$\begin{aligned} \frac{T_{c_j \mathbf{f} \cdot \mathbf{a}_j}(r)}{c_1 \mathbf{f} \cdot \mathbf{a}_1} &\leq T_{[c_1 \mathbf{f} \cdot \mathbf{a}_1 : \dots : c_u \mathbf{f} \cdot \mathbf{a}_u]}(r) \\ &\leq \sum_{t=1}^u N_{c_t \mathbf{f} \cdot \mathbf{a}_t}^{(u-2)}(r, 0) + O_{exc}(\log^+ T_{[c_1 \mathbf{f} \cdot \mathbf{a}_1 : \dots : c_u \mathbf{f} \cdot \mathbf{a}_u]}(r)) \\ &\leq \sum_{t=1}^u N_{\mathbf{f} \cdot \mathbf{a}_t}^{(u-2)}(r, 0) + O\left(\sum_{t=1}^u T_{c_t}(r)\right) + O_{exc}(\log^+ T_f(r)) \\ &\leq \sum_{t=1}^u N_{\mathbf{f} \cdot \mathbf{a}_t}^{(u-2)}(r, 0) + O\left(\max_{1 \leq i \leq q} T_{H_i}(r)\right) + O_{exc}(\log^+ T_f(r)), \end{aligned}$$

for  $2 \leq j \leq u$ .

From the definition of characteristic function,

$$\frac{T_{\mathbf{f} \cdot \mathbf{a}_j}(r)}{\mathbf{f} \cdot \mathbf{a}_1} \leq \frac{T_{c_j \mathbf{f} \cdot \mathbf{a}_j}(r)}{c_1 \mathbf{f} \cdot \mathbf{a}_1} + \frac{T_{c_j}(r)}{c_1}.$$

Therefore the above inequalities and (3.2) imply that

$$(3.5) \quad \frac{T_{\mathbf{f} \cdot \mathbf{a}_j}(r)}{\mathbf{f} \cdot \mathbf{a}_1} \leq \sum_{t=1}^q N_{\mathbf{f} \cdot \mathbf{a}_t}^{(n)}(r, 0) + O\left(\max_{1 \leq i \leq q} T_{H_i}(r)\right) + O_{exc}(\log^+ T_f(r)),$$

for  $2 \leq j \leq u$ .

If the dimension of the vector space spanned by  $\mathbf{a}_1, \dots, \mathbf{a}_u$  over  $\mathcal{M}$  is  $n+1$ , then we are done. Otherwise we assume that the dimension of the vector space spanned by  $\mathbf{a}_1, \dots, \mathbf{a}_u$  over  $\mathcal{M}$  is less than  $n+1$ . Let  $\mathcal{A}_1 = \{\mathbf{a}_i \in \mathcal{A} \mid \mathbf{a}_i \in (\mathbf{a}_1, \dots, \mathbf{a}_u)_{\mathcal{M}}\}$ . Suppose that  $\mathcal{A}_1 = \{\mathbf{a}_1, \dots, \mathbf{a}_{u_1}\}$ . We now prove that

$$(3.6) \quad \frac{T_{\mathbf{f} \cdot \mathbf{a}_j}(r)}{\mathbf{f} \cdot \mathbf{a}_1} \leq \sum_{t=1}^q 2N_{\mathbf{f} \cdot \mathbf{a}_t}^{(n)}(r, 0) + O\left(\max_{1 \leq i \leq q} T_{H_i}(r)\right) + O_{exc}(\log^+ T_f(r)),$$

for  $2 \leq j \leq u_1$ . If  $u_1 = u$ , then this is done already. Otherwise for each  $u+1 \leq j \leq u_1$  we have a minimal relation  $c_j \mathbf{a}_j + c_{i_1} \mathbf{a}_{i_1} + \cdots + c_{i_w} \mathbf{a}_{i_w} = 0$ , where  $\{i_1, \dots, i_w\}$  is an index subset of  $\{1, \dots, u\}$ . Repeating the procedure of deriving (3.5), we can show that

$$(3.7) \quad \frac{T_{\mathbf{f} \cdot \mathbf{a}_{i_j}}(r)}{\mathbf{f} \cdot \mathbf{a}_j} \leq \sum_{t=1}^q N_{\mathbf{f} \cdot \mathbf{a}_t}^{(n)}(r, 0) + O\left(\max_{1 \leq i \leq q} T_{H_i}(r)\right) + O_{exc}(\log^+ T_f(r)),$$

for some  $1 \leq i_j \leq u$ . Since

$$\frac{\mathbf{f} \cdot \mathbf{a}_j}{\mathbf{f} \cdot \mathbf{a}_1} = \frac{\mathbf{f} \cdot \mathbf{a}_j}{\mathbf{f} \cdot \mathbf{a}_{i_j}} \frac{\mathbf{f} \cdot \mathbf{a}_{i_j}}{\mathbf{f} \cdot \mathbf{a}_1},$$

its characteristic function satisfies (3.6).

We now move to the second step of the proof. Since  $\mathcal{H}$  is non-degenerate,  $(\mathcal{A}_1)_{\mathcal{M}} \cap (\mathcal{A} - \mathcal{A}_1)_{\mathcal{M}} \cap \mathcal{A} \neq \emptyset$ . We can find an  $\mathbf{a}_i \in (\mathcal{A}_1)_{\mathcal{M}} \cap (\mathcal{A} - \mathcal{A}_1)_{\mathcal{M}}$ . From the definition of  $\mathcal{A}_1$ , we have  $1 \leq i \leq u_1$ . Since  $\mathbf{a}_i \in (\mathcal{A} - \mathcal{A}_1)_{\mathcal{M}}$ , after rearranging the linear forms we have a minimal relation  $c_i \mathbf{a}_i + c_{u_1+1} \mathbf{a}_{u_1+1} + \cdots + c_w \mathbf{a}_w = 0$ . Similarly, after rearranging the index, we have an equation with no vanishing proper subsum

$$c_i \mathbf{a}_i + c_{u_1+1} \mathbf{a}_{u_1+1} + \cdots + c_\nu \mathbf{a}_\nu = 0, \quad \nu \leq w.$$

Therefore, similarly to the derivation of (3.5),

$$(3.8) \quad T_{\frac{\mathbf{f} \cdot \mathbf{a}_{u_1+1}}{\mathbf{f} \cdot \mathbf{a}_i}}(r) \leq \sum_{t=1}^q N_{\mathbf{f} \cdot \mathbf{a}_t}^{(n)}(r, 0) + O\left(\max_{1 \leq i \leq q} T_{H_i}(r)\right) + O_{exc}(\log^+ T_f(r)).$$

Since

$$\frac{\mathbf{f} \cdot \mathbf{a}_{u_1+1}}{\mathbf{f} \cdot \mathbf{a}_1} = \frac{\mathbf{f} \cdot \mathbf{a}_{u_1+1}}{\mathbf{f} \cdot \mathbf{a}_i} \frac{\mathbf{f} \cdot \mathbf{a}_i}{\mathbf{f} \cdot \mathbf{a}_1},$$

from (3.6) and (3.8) we have

$$(3.9) \quad T_{\frac{\mathbf{f} \cdot \mathbf{a}_{u_1+1}}{\mathbf{f} \cdot \mathbf{a}_1}}(r) \leq \sum_{t=1}^q 3N_{\mathbf{f} \cdot \mathbf{a}_t}^{(n)}(r, 0) + O\left(\max_{1 \leq i \leq q} T_{H_i}(r)\right) + O_{exc}(\log^+ T_f(r)),$$

If  $\dim(L_1, \dots, L_{u_1+1})_{\mathcal{M}} = n+1$ , then we are done. Otherwise, we can repeat the same argument. Then we will obtain a sequence of collections of linear forms  $\mathcal{A}_1, \dots, \mathcal{A}_r$  such that  $\dim(\mathcal{A}_1)_{\mathcal{M}} < \dim(\mathcal{A}_2)_{\mathcal{M}} < \cdots < \dim(\mathcal{A}_r)_{\mathcal{M}} = n+1$  and

$$(3.10) \quad T_{\frac{\mathbf{f} \cdot \mathbf{a}}{\mathbf{f} \cdot \mathbf{a}_1}}(r) \leq \sum_{t=1}^q 2iN_{\mathbf{f} \cdot \mathbf{a}_t}^{(n)}(r, 0) + O\left(\max_{1 \leq i \leq q} T_{H_i}(r)\right) + O_{exc}(\log^+ T_f(r)),$$

for  $\mathbf{a} \in \mathcal{A}_i$ . Since  $\dim(\mathcal{A})_{\mathcal{M}} = n+1$  and the cardinality of  $\mathcal{A}_1$  is at least 2,  $r \leq n$ . It's also clear from the proof that to show the claim we only need to show (3.10) up to  $r-1$ , and show an inequality similar to (3.8) for one element in  $\mathcal{A}_r - \mathcal{A}_{r-1}$ . Hence we arrive at (3.3). Thus the claim is proved.

By the claim, there are  $n+1$  linearly independent vectors  $\mathbf{a}_{i_1}, \dots, \mathbf{a}_{i_{n+1}} \in \mathcal{A}$  such that (3.3) holds. Hence

$$(3.11) \quad \begin{aligned} T_f(r) &\leq \sum_{j=2}^{n+1} T_{\frac{\mathbf{f} \cdot \mathbf{a}_{i_j}}{\mathbf{f} \cdot \mathbf{a}_{i_1}}}(r) + O\left(\max_{1 \leq i \leq q} T_{H_i}(r)\right) \\ &\leq \sum_{t=1}^q n(2n-1)N_{\mathbf{f} \cdot \mathbf{a}_t}^{(n)}(r, 0) + O\left(\max_{1 \leq i \leq q} T_{H_i}(r)\right) + O_{exc}(\log^+ T_f(r)). \end{aligned}$$

This finishes the proof of Theorem 1.1.  $\square$

*Proof of Theorem 1.2.* Let

$$H_j = \{[x_0 : \cdots : x_n] \in \mathbb{P}^n(\mathbb{C}) \mid \sum_{i=0}^n a_{ij} x_i = 0\},$$

where  $a_{ij}, 0 \leq i \leq n$ , are entire functions without common zeros for each  $1 \leq j \leq q$ . For each  $j$ , there exists  $j_0$  such that  $a_{j_0, j} \neq 0$ . Let  $b_{ij} = a_{ij}/a_{j_0, j}$ . Then  $b_{ij}$  are meromorphic functions with the property that, for  $1 \leq j \leq q$ ,  $T_{b_{ij}}(r) \leq T_{H_j}(r)$ .

Let  $\mathbf{a}_j = (b_{0j}, \dots, b_{nj})$ . Let  $I \subset \{2, \dots, q\}$  be the index set with the property that  $i \in I$  if and only if

$$T_{\frac{\mathbf{f} \cdot \mathbf{a}_j}{\mathbf{f} \cdot \mathbf{a}_1}}(r) \leq \sum_{i=1}^q N_{\mathbf{f} \cdot \mathbf{a}_i}^{(n)}(r, 0) + O\left(\max_{1 \leq i \leq q} T_{H_i}(r)\right) + O_{exc}(\log^+ T_f(r)).$$

We first show that  $\#I \geq n+1$ . After rearranging the index, we assume that  $I = \{2, \dots, u\}$ , and  $u \leq n$ . For dimensional reasons,  $\{\mathbf{a}_1, \mathbf{a}_{n+1}, \dots, \mathbf{a}_{2n+1}\}$  is always linearly dependent over  $\mathcal{M}$ , i.e.

$$c_1 \mathbf{a}_1 + c_{n+1} \mathbf{a}_{n+1} + \dots + c_{2n+1} \mathbf{a}_{2n+1} = 0.$$

Moreover, since these linear forms are in general position, we can solve for  $c_1, c_{n+1}, \dots, c_{2n+2}$  explicitly. In fact, let

$$A = \begin{pmatrix} a_{10} & \dots & a_{1n} \\ a_{n+1,0} & \dots & a_{n+1,n} \\ \vdots & \ddots & \vdots \\ a_{2n+1,0} & \dots & a_{2n+1,n} \end{pmatrix},$$

and let  $(-1)^{i-1} A_i$  be the determinant of the matrix obtained by deleting the  $i$ -th row,  $1 \leq i \leq n+2$ , from  $A$ ; then  $c_1 = A_1, c_{n+1} = A_2, \dots, c_{2n+1} = A_{n+2}$ . For such  $c_1, c_{n+1}, \dots, c_{2n+1}$ , since  $T_{b_{ij}}(r) \leq T_{H_j}(r)$ , we have

$$T_{c_1}(r) \leq O\left(\max_{1 \leq i \leq q} T_{H_i}(r)\right),$$

and, for  $n+1 \leq j \leq 2n+1$ ,

$$T_{c_j}(r) \leq O\left(\max_{1 \leq i \leq q} T_{H_i}(r)\right).$$

After rearranging the index we will have an equation

$$c_1 \mathbf{f} \cdot \mathbf{a}_1 + c_{n+1} \mathbf{f} \cdot \mathbf{a}_{n+1} + \dots + c_w \mathbf{f} \cdot \mathbf{a}_w = 0,$$

with no proper subsum vanishing. Therefore, similarly to (3.5), we conclude that

$$T_{\frac{\mathbf{f} \cdot \mathbf{a}_{n+1}}{\mathbf{f} \cdot \mathbf{a}_1}}(r) \leq \sum_{t=1}^q N_{\mathbf{f} \cdot \mathbf{a}_t}^{(n)}(r, 0) + O\left(\max_{1 \leq i \leq q} T_{H_i}(r)\right) + O_{exc}(\log^+ T_f(r)).$$

This contradicts the fact that  $n+1$  is not in  $I$ . Thus  $\#I \geq n+1$ . By the “in general position” assumption, any  $n+1$  hyperplanes in  $\mathcal{H}$  are linearly independent. Therefore, similarly to (3.11), we can derive the following inequality:

$$(3.12) \quad T_f(r) \leq \sum_{j=1}^q n N_f^{(n)}(r, H_j) + O\left(\max_{1 \leq i \leq q} T_{H_i}(r)\right) + O_{exc}(\log^+ T_f(r)).$$

We now deduce the inequality of the theorem by induction on  $q$ . Let  $\mathcal{H}_\gamma$  be a subset of  $\mathcal{H}$  consisting of  $\gamma \geq 2n+1$  elements. When  $\gamma = 2n+1$ , this is done by

(3.12). By the induction assumption

$$(3.13) \quad \frac{\gamma}{2n+1} T_f(r) \leq \sum_{H \in \mathcal{H}_\gamma} nN_f^{(n)}(r, H) + O\left(\max_{1 \leq i \leq q} T_{H_i}(r)\right) + O_{exc}(\log^+ T_f(r))$$

for any subset  $\mathcal{H}_\gamma$  of  $\mathcal{H}$  consisting of  $\gamma \geq 2n+1$  elements. For  $\mathcal{H}_{\gamma+1}$ , we can choose  $\gamma$  linear forms at a time and apply (3.13). This gives  $\gamma+1$  inequalities like (3.13). Summing up these  $\gamma+1$  inequalities, we have

$$\frac{\gamma+1}{2n+1} T_f(r) \leq \sum_{j=1}^q nN_f^{(n)}(r, H_j) + O\left(\max_{1 \leq i \leq q} T_{H_i}(r)\right) + O_{exc}(\log^+ T_f(r)).$$

This completes the proof of Theorem 1.2.  $\square$

#### 4. SOME RESULTS ON ABC VARIETY

Motivated by Theorem 1.1, we introduce the concept of ABC variety. The definition is similar to the concept introduced by Buim (cf. [Bu]) in the function field case. For more discussions in this direction, see [W]. Let  $V$  be a smooth complex projective variety. Let  $A$  be an ample divisor on  $X$ . The characteristic (or height) function of  $f$  with respect to  $A$  is defined by

$$T_A(r, f) = \int_0^r \int_{\Delta_t} f^* c_1(A) \frac{dt}{t},$$

where  $c_1(A)$  is the first Chern form of the line bundle  $[A]$  associated with  $A$ . Since, with respect to different ample divisors, the characteristic functions of  $f$  differ only by a constant multiple plus a bounded term, we denote  $T_A(r, f)$  simply by  $T_f(r)$  for some ample divisor  $A$ . Let  $D$  be an effective divisor. The proximity function  $m_f(r, D)$  is defined by

$$m_f(r, D) = \int_0^{2\pi} \log \frac{1}{\|s(f(re^{i\theta}))\|} \frac{d\theta}{2\pi},$$

where  $s$  is the canonical section of  $[D]$ . The truncated counting function  $N_f^{(n)}(r, D)$  of  $f$  is the same as what we defined in Section 1.

**Definition.** Let  $V$  be a smooth projective variety defined over  $\mathbb{C}$  of dimension  $n$ . Let  $D$  be an effective divisor over  $V$ . The pair  $(V, D)$  is called an **ABC-variety** if there is a positive constant  $C$  such that for all holomorphic map  $f : \mathbb{C} \rightarrow V$ ,

$$(4.1) \quad T_f(r) \leq CN_f^{(n)}(r, D) + O_{exc}(\log^+ T_f(r)).$$

Theorem 1.1 implies that  $(\mathbb{P}^n(\mathbb{C}), \bigcup_{H \in \mathcal{H}} H)$  is an ABC-variety if  $\mathcal{H}$  is non-degenerate. We now prove that they are in fact equivalent.

**Theorem 4.1.** *Let  $\mathcal{H}$  be a finite set of hyperplanes in  $\mathbb{P}^n(\mathbb{C})$ . Then*

$$(\mathbb{P}^n(\mathbb{C}), \bigcup_{H \in \mathcal{H}} H)$$

*is an ABC-variety if and only if  $\mathcal{H}$  is non-degenerate over  $\mathbb{C}$ . Or equivalently,  $(\mathbb{P}^n(\mathbb{C}), \bigcup_{H \in \mathcal{H}} H)$  is an ABC-variety if and only if  $\mathbb{P}^n(\mathbb{C}) - \bigcup_{H \in \mathcal{H}} H$  is Brody hyperbolic.*

In fact, if  $(\mathbb{P}^n(\mathbb{C}), \bigcup_{H \in \mathcal{H}} H)$  is an *ABC*-variety, then (4.1) holds, so it implies that  $\mathbb{P}^n(\mathbb{C}) - \bigcup_{H \in \mathcal{H}} H$  is Brody hyperbolic. By the result of [Ru1],  $\mathbb{P}^n(\mathbb{C}) - \bigcup_{H \in \mathcal{H}} H$  is Brody hyperbolic if and only if  $\mathcal{H}$  is non-degenerate over  $\mathbb{C}$ . This, together with Theorem 1.1, implies Theorem 4.1. Below, for completeness, we include a proof which contains the step that explains why  $\mathbb{P}^n(\mathbb{C}) - \bigcup_{H \in \mathcal{H}} H$  Brody hyperbolic implies  $\mathcal{H}$  non-degenerate.

*Proof of Theorem 4.1.* As we indicated, we only need to prove that if  $\mathbb{P}^n(\mathbb{C}) - \bigcup_{H \in \mathcal{H}} H$  is an *ABC*-variety, then  $\mathcal{H}$  is non-degenerate. To prove this, we first recall a result from [Ru1].

**Proposition (Ru).**  *$\mathcal{H}$  is non-degenerate over  $\mathbb{C}$  if and only if for every  $\mathcal{H}$ -admissible subspace  $V$  of  $\mathbb{P}^n(\mathbb{C})$  of projective dimension greater than or equal to one,  $\mathcal{H} \cap V$  contains at least three distinct hyperplanes which are linearly dependent over  $\mathbb{C}$ , where  $V$  is called  $\mathcal{H}$ -admissible if  $V$  is not contained in any hyperplane in  $\mathcal{H}$ .*

Assume that  $\mathcal{H}$  is degenerate over  $\mathbb{C}$ . Then the above proposition implies that there exists an  $\mathcal{H}$ -admissible subspace  $V$  of  $\mathbb{P}^n$  of projective dimension greater than or equal to 1 such that  $\mathcal{H} \cap V$  does not contain at least three distinct hyperplanes which are linearly dependent over  $\mathbb{C}$ . After a linear change of basis we may assume that  $V = \mathbb{P}^m, m \leq n$ . Then  $\mathcal{H} \cap V$  contains exactly  $q$  distinct hyperplanes which are linearly independent over  $\mathbb{C}$ , and  $q \leq n + 1$ . Obviously there is a non-constant holomorphic map  $f : \mathbb{C} \rightarrow \mathbb{P}^n(\mathbb{C})$  which omits these coordinate hyperplanes. On the other hand, by our assumption,  $(\mathbb{P}^n(\mathbb{C}), \bigcup_{H \in \mathcal{H}} H)$  is an *ABC*-variety; thus (4.1) holds for  $D = \bigcup_{H \in \mathcal{H}} H$ . This implies that  $f$  must be constant. This leads to a contradiction.  $\square$

Finally, we conjecture that  $(V, D)$  is an *ABC*-variety if and only if  $V - D$  is Kobayashi hyperbolic.

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